

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2/26

Inventor application of: **Peloquin et al.**

Serial No.: **09/734,812**

Filed: **December 11, 2000**

For: **Method and an Apparatus for  
Logical Volume Manager Plug-Ins**

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Group Art Unit: **2126**

Examiner: **Truong, Lechi**

Attorney Docket No.: **AUS9-2000-0529-US1**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 15, 2004.

By:

*Kit Cruz-Verona*  
Kit Cruz-Verona

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**APR 20 2004**

**Technology Center 2100**

**TRANSMITTAL DOCUMENT**

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P.O. Box 1450  
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Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AH; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

*Duke W. Yee*  
Duke W. Yee

Registration No. 34,285

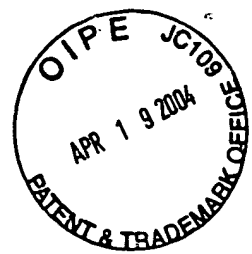
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ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**Technology Center 2100**

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the

filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: April 15, 2004

A handwritten signature in black ink, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee  
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Form PTO-1449

**LIST OF PRIOR ART CITED  
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
**AUS9-2000-0529-US1**

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**09/734,812**

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APR 20 2004

APPLICANT **Peloquin et al.**

Technology Center 2100

FILING DATE **December 11, 2000**

GROUP ART UNIT **2126**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,122,685	Sep. 19, 2000	Bachmat	710/74	May 6, 1998
	AB 5,129,088	Jul. 7, 1992	Auslander et al.	395/700	Jul. 3, 1990
	AC 5,940,849	Aug. 17, 1999	Koyama	711/4	May 31, 1996
	AD 6,370,626 B1	Apr. 9, 2002	Gagne et al.	711/154	Apr. 30, 1999
	AE 5,983,316	Nov. 9, 1999	Norwood	711/112	May 29, 1997
	AF 5,784,703	Jul. 21, 1998	Muraoka et al.	711/173	Feb. 11, 1993

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

**OTHER PRIOR ART** (including author, title, date, pertinent page, etc.)

AG	M. Frans Kaashoek, "The Logical Disk: A New Approach to Improving File Systems", July 22, 1998.
AH	IBM, IBM to release LVM technology to the linux, June 27, 2000.

**RELATED PATENT APPLICATIONS**

EXAMINER INITIAL	APPLICATION NO/ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.